

IDENTITY VERIFICATION CERTIFICATE

INDIVIDUAL

Private Individual

Introduction by a UK regulated firm

1. DETAILS OF INDIVIDUAL (SEE EXPLANATORY NOTES BELOW)

Full name of customer	
Date of birth	□ □ / □ □ / □ □ □ □
Current address (inc postcode)	
Previous address (if applicant has changed address in the last three months)	

2. CONFIRMATION

I/we confirm that:

- a) the information in section 1 above was obtained by me/us in relation to the customer
- b) the evidence I/we have obtained to verify the identity of the customer:

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Signed

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Name	
Position	
Date	□ □ / □ □ / □ □ □ □

3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

Full name of regulated firm (or Sole Trader)	
FCA Reference number	

4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations;
 - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.

If you have a:

- Phoenix Wealth Pension Funds only plan please write to Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.
- Retirement Wealth Account - Self-invested plan please write to Phoenix Wealth, Self Invested Pension, PO Box 1394, Peterborough, PE2 2TQ.

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PH_GEN0010 | March 2018