

TRUSTEE INVESTMENT PLAN

TRUSTEE/SCHEME ADMINISTRATOR AGREEMENT

Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.

Notice

The following Agreement ("Agreement") is provided on the strict understanding that it is presented as a draft to be considered by the relevant Financial Adviser and Trustee/Scheme Administrator concerned.

Phoenix Wealth does not accept any responsibility for the effectiveness of the Agreement nor any liability for any loss suffered as a result of the use of the Agreement in any circumstances whatsoever. The effect of, and appropriateness of, the Agreement is a matter to be ultimately determined by the Financial Adviser and the Trustee/Scheme Administrator and Phoenix Wealth strongly advises that legal advice is sought before proceeding.

This Agreement is made on the / /

BETWEEN

1. Name and address of SIPP/SASS Trustee/Scheme Administrator ("Trustee/ Scheme Administrator")	
2. Name and address of Financial Adviser Firm ("Financial Adviser")	

Whereas:

- The Trustee/Scheme Administrator is the trustee/Scheme Administrator of a self invested or self administered pension scheme ("Scheme").
- The Financial Adviser is currently the financial adviser to a member(s) of the Scheme or to the Trustee/Scheme Administrator.
- The Trustee/Scheme Administrator has invested in the Phoenix Wealth TIP (as defined overleaf).
- On the terms of this Agreement, the Trustee/Scheme Administrator is willing to delegate to the Financial Adviser specific authority to give direct fund-switching instructions to the Provider (as defined overleaf) without the approval of the Trustee/Scheme Administrator.

6. NOTIFICATIONS AND ACKNOWLEDGEMENTS

- 6.1 The Financial Adviser undertakes to give written notice to the Trustee/Scheme Administrator of any fund switch instruction given pursuant to the authorities granted under clause 3.1 within 5 business days of the Financial Adviser receiving written confirmation from the Provider or an administrator appointed by the Provider that such fund switch instruction has been actioned and completed.
- 6.2 The Financial Adviser undertakes to provide a certified copy of this Agreement to the Provider prior to it exercising the authorities granted to it under clause 3.1 for the first time. The Financial Adviser further undertakes to provide a certified copy of any amended version of this Agreement to the Provider as soon as such changes have been agreed with the Trustee/Scheme Administrator.
- 6.3 The Financial Adviser undertakes to give written notice to the Provider immediately upon any authority granted pursuant to clause 3.1 being terminated including termination in accordance with clause 5.
- 6.4 The Trustee/Scheme Administrator and the Financial Adviser hereby acknowledge that the Provider or an administrator appointed by the Provider cannot be held liable in any manner for accepting fund switch instructions from the Financial Adviser except where the Provider has received or acknowledged receipt of the written notice of the termination of such authority. The Trustee/Scheme Administrator and Financial Adviser further acknowledge that the fund switch instruction will operate in accordance with the terms for fund switching set out in the Phoenix Wealth TIP.

7. GENERAL

- 7.1 This Agreement shall be governed by English law and the Trustee/Scheme Administrator and the Financial Adviser submit all matters to the exclusive jurisdiction of the English courts.
- 7.2 This Agreement is not assignable.
- 7.3 This Agreement may only be varied or amended in writing and signed by the Trustee/Scheme Administrator and the Financial Adviser.
- 7.4 Nothing in this Agreement shall be construed as giving rise to any relationship of partnership or agency between the Trustee/Scheme Administrator and the Financial Adviser.
- 7.5 This Agreement may be executed in any number of counterparts and by the parties to it on separate counterparts each of which, when executed and delivered, shall be an original, but all counterparts shall together constitute one and the same Agreement.

IN WITNESS the parties have entered into this Agreement the day and year first above written

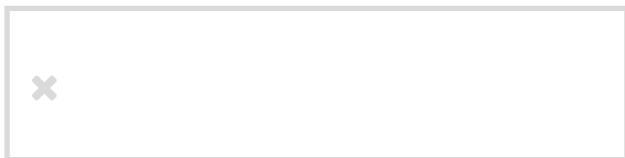
Signed by [Trustee/Scheme Administrator]

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Acting by

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Signed by [Trustee/Scheme Administrator]

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Acting by

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Phoenix Life Limited, trading as Phoenix Wealth, is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Phoenix Life Limited is registered in England No. 1016269 and has its registered office at: 1 Wythall Green Way, Wythall, Birmingham, B47 6WG.

PH_TIP0006 | March 2018