

# IDENTITY VERIFICATION CERTIFICATE

INDIVIDUAL

## Private Individual

**Introduction by a UK regulated firm**

### 1. DETAILS OF INDIVIDUAL (SEE EXPLANATORY NOTES BELOW)

<b>Full name of customer</b>	
<b>Date of birth</b>	□ □ / □ □ / □ □ □ □
<b>Current address</b> (inc postcode)	
<b>Previous address</b> (if applicant has changed address in the last three months)	

### 2. CONFIRMATION

I/we confirm that:

- a) the information in section 1 above was obtained by me/us in relation to the customer
- b) the evidence I/we have obtained to verify the identity of the customer:

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Signed

×

<b>Name</b>	
<b>Position</b>	
<b>Date</b>	□ □ / □ □ / □ □ □ □

### 3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

<b>Full name of regulated firm</b> (or Sole Trader)	
<b>FCA Reference number</b>	

### 4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations;
  - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.

If you have a:

- Phoenix Wealth Pension Funds only plan please write to Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.
- Retirement Wealth Account - Self-invested plan please write to Phoenix Wealth, Self Invested Pension, PO Box 1394, Peterborough, PE2 2TQ.