PHOENIX WEALTH

# INVESTMENT INSTRUCTIONS

## FOR PHOENIX WEALTH PENSION FUNDS ONLY

**Phoenix Wealth, Unit Linked Life & Pensions**, PO Box 1393, Peterborough, PE2 2TP.

## When to use this form

**You need to complete this form to let us know how you want to invest any contributions or transfer payments.**

What do the investment instructions in this form relate to? You can tick more than one box.

☐ Personal regular contribution – you must also complete the **Phoenix Wealth – Individual regular contribution** form

☐ Employer contribution – you must also complete the **Phoenix Wealth – Employer contribution** form

☐ Employer contribution through The Executive Pension - you must also complete **The Executive Pension – contribution** form

☐ Transfer – you must also complete the **Phoenix Wealth – Transfer payment authority**

☐ Benefits – you must also complete one of the following benefit forms:

- ☐ **Phoenix Wealth – Flexi-access drawdown benefit options**
- ☐ **Phoenix Wealth – Capped drawdown benefit options**
- ☐ **Phoenix Wealth – Uncrystallised funds pension lump sum benefit options**

### Transfer payments

If you are transferring your pension from another provider to take benefits, we will invest your funds in the Phoenix Wealth Money Market fund. You can't start taking benefits until we have received all known contributions/transfers. We will then calculate and pay your tax-free lump sum and invest the remaining balance according to your instructions in section 2. You will receive documentation from us on receipt of each contribution/transfer and when your benefits begin.

☐ Alternatively, tick this box if you want each transfer processed upon receipt and invested as per section 2 before starting to take benefits.

### 1. DETAILS

| | |
|---|---|
| **Your full name** | |
| **Plan/policy number** (if applicable) | ☐☐☐☐☐☐☐☐☐ |
| **Illustration reference number** (As shown on your illustration) | |

### 2. INVESTMENT DETAILS

Please complete this section to let us know which Funds you want to invest in.
We include details of the Funds in our **Retirement Wealth Account Fund List** and **Individual Pension Plans Fund List**. You can get these from your financial adviser.

Please confirm if you want to invest this contribution based on:

☐ Your illustration - as per the illustration reference number provided above

☐ New investment instructions - please complete the table below. The combined total percentage must add up to 100%.

| Fund name | Percentage (whole % only) |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| TOTAL | 100% |

## 3. PORTFOLIO REBALANCNG

**Complete this section if you want to apply Portfolio Rebalancing to your investments.**

If you choose Portfolio Rebalancing, your portfolio will be rebalanced to your original investment allocation or the new instruction given in section 2. You can stop or change Portfolio Rebalancing at any time by writing to us or by completing the **Fund Switching, Investment Allocation and Portfolio Rebalancing** form. Your financial adviser can also set up and vary Portfolio Rebalancing instructions online.

Start date (between 1st and 28th of month) ☐☐ / ☐☐ / ☐☐☐☐

Frequency: ☐ Monthly ☐ Quarterly ☐ Half-yearly ☐ Annually

## 4. FINANCIAL ADVISER DECLARATION

Please note: We will only pay an adviser charge if we have received a completed **Adviser charges payment request** form.

**Confirmation of advice given**

Did you give advice in relation to this form?

☐ Yes – restricted ☐ Yes – independent ☐ No

Financial adviser's signature:

✖

Date: ☐☐ / ☐☐ / ☐☐☐☐

| | |
|---|---|
| **Your name** | |
| **Name of your firm** | |
| **FCA number** | ☐☐☐☐☐☐☐ |

## 5. MEMBER DECLARATION

I confirm

- To the best of my knowledge and belief, the statements made in this application, including those not in my handwriting, are correct and complete.
- I confirm that my financial adviser has provided me with all the relevant supporting documentation available and that I have been able to make an informed decision based on my personal circumstances.

Signature:

✖

Date:

☐☐ / ☐☐ / ☐☐☐☐

## 6. TRUSTEE(S) DECLARATION (FOR THE EXECUTIVE PENSION ONLY)

As the plan is issued to the trustees of your pension scheme, they must sign this declaration.

- I/We agree that the investment details in sections 2 and 3 of this application form can be applied to the plan.

| | | |
|---|---|---|
| **Name** | Signature<br>✖ | Date<br>☐☐ / ☐☐ / ☐☐☐☐ |
| **Name** | Signature<br>✖ | Date<br>☐☐ / ☐☐ / ☐☐☐☐ |



**PH0010** | October 2018