PHOENIX WEALTH

SOURCE OF WEALTH

QUESTIONNAIRE

Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.

What is this form for?

You need to confirm the details of your source(s) of wealth for an investment of £50,000 or more to comply with industry regulations. We will also need the supporting documentation we have highlighted in each section.

There may be instances where we need to confirm the source(s) of wealth for some investments of less than £50,000. We will let you know if this is the case.

Full name	
Reference number	☐☐☐☐☐☐☐

Savings from employment/income

Your annual salary	£
Employer's name	
Employer's address	
Employer's tel number	☐☐☐☐☐☐☐☐☐☐☐
Nature of business	

Please send us <u>one</u> of the following:

- ☐ A copy of a payslip dated within the last three months.
- ☐ A letter from your employer confirming your annual salary.
- ☐ Copies of your last three years accounts (if you're self-employed).

Existing investments

Amount you've received	£
Name of the policy provider	
Policy/reference number	
Type of policy	
Date of maturity/ surrender	/ /

Please send us <u>one</u> of the following:

- ☐ Copy of the closing statement.
- ☐ Letter from the product provider confirming the surrender/maturity proceeds paid.
- ☐ Copy of a bank statement showing receipt of funds and policy in the provider's name.

Property sale

Address of the property sold (inc postcode)	
Date of sale	/ /
Amount of sale	£
Amount of equity released	£

Please send us <u>both</u> of the following:

- ☐ A signed letter from the solicitor confirming the address, date of sale, sale price and equity released.
- ☐ A copy of a bank statement showing the receipt of funds.

Company sale or sale of interest in company

Name and nature of the business	
Date of sale	/ /
Total amount the company was sold for	£
Applicants' share of the sale	£

Please send us:

- ☐ A signed letter from the solicitor, or regulated accountant, dealing with the company sale confirming their name, address and details of the company sold, date of the sale, and details of the funds the applicant received.

Share sale

Description of shares	
Name of the seller	
How long shares were held	
Sale amount	£
Date funds received	☐☐/☐☐/☐☐☐☐

Please send us <u>all</u> of the following:

- ☐ A copy of the contract notes. They must show the sale proceeds.
- ☐ A certified copy of a bank statement showing receipt of funds and the investment company's name.
- ☐ A signed letter providing details of the funds from a regulated accountant.

Loan

Amount of loan	£
Name of loan provider	
Date of loan	☐☐/☐☐/☐☐☐☐

Please send us:

- ☐ A letter from the loan provider confirming the amount of loan and the recipient.

Gift

Total amount	£
Reason for gift	
Your relationship to donor	
Date of gift	☐☐/☐☐/☐☐☐☐

Please send us:

- ☐ Certified documentary evidence of the donor's source of wealth based on the source of wealth documented above.

Inheritance

Name of the deceased	
Date of death	☐☐/☐☐/☐☐☐☐
Relation to the deceased	
Date inheritance received	☐☐/☐☐/☐☐☐☐
Total amount of inheritance received	£
Details of the solicitor (where applicable)	

Please send us both of the following:

☐ A sealed copy of the Grant of Probate (with a copy of the will). This must include the value of the estate.

☐ A signed letter from the solicitor detailing the name of the deceased, date of death, relation to the deceased, date the inheritance was received, total amount of inheritance received.

Competition or gambling win

Total amount	£
Details of organisation paying prize	
Date of win	☐☐/☐☐/☐☐☐☐

Please send us:

☐ Evidence of winnings either in the form of confirmation from the competition organiser and/or evidence of receipt of winnings into your bank account.

Compensation payment

Total amount	£
Who made the payment	
Date received	☐☐/☐☐/☐☐☐☐

Please send us:

☐ Evidence of the source and amount of compensation payment.

Divorce settlement

Date received	☐☐/☐☐/☐☐☐☐
Total amount received	£
Name of the divorced partner	

Please send us <u>one</u> of the following:

☐ A copy of the Court Order.

☐ A solicitor's letter detailing the date and amount received.

Company profits

Name and address of the company (inc postcode)	
Nature of business of the company	
Gross annual profit	£

Please send us:

☐ A copy of latest audited company accounts.

Other

Nature of income	
Amount	£
Received from whom	
Date received	☐☐/☐☐/☐☐☐☐

Please note

Where a source of wealth is from other sources, we may need additional evidence before we accept it. If you have any evidence to support other sources of wealth please provide it to avoid unnecessary delays.

Phoenix Life Limited, trading as Phoenix Wealth, is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Phoenix Life Limited is registered in England and Wales No. 1016269 and has its registered office at: 10 Brindleyplace, Birmingham, B1 2JB.

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