

# SOURCE OF WEALTH

## QUESTIONNAIRE

Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.

### What is this form for?

You need to confirm the details of your source(s) of wealth for an investment of £50,000 or more to comply with industry regulations. We will also need the supporting documentation we have highlighted in each section.

There may be instances where we need to confirm the source(s) of wealth for some investments of less than £50,000. We will let you know if this is the case.

<b>Full name</b>	
<b>Reference number</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

### Savings from employment/income

<b>Your annual salary</b>	£ <input type="text"/>
<b>Employer's name</b>	
<b>Employer's address</b>	
<b>Employer's tel number</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Nature of business</b>	

### Please send us one of the following:

- A copy of a payslip dated within the last three months.
- A letter from your employer confirming your annual salary.
- Copies of your last three years accounts (if you're self-employed).

### Existing investments

<b>Amount you've received</b>	£ <input type="text"/>
<b>Name of the policy provider</b>	<input type="text"/>
<b>Policy/reference number</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Type of policy</b>	<input type="text"/>
<b>Date of maturity/ surrender</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

**Please send us one of the following:**

- Copy of the closing statement.
- Letter from the product provider confirming the surrender/maturity proceeds paid.
- Copy of a bank statement showing receipt of funds and policy in the provider's name.

### Property sale

<b>Address of the property sold</b> (inc postcode)	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
<b>Date of sale</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Amount of sale</b>	£ <input type="text"/>
<b>Amount of equity released</b>	£ <input type="text"/>

**Please send us both of the following:**

- A signed letter from the solicitor confirming the address, date of sale, sale price and equity released.
- A copy of a bank statement showing the receipt of funds.

### Company sale or sale of interest in company

<b>Name and nature of the business</b>	<input type="text"/>
<b>Date of sale</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Total amount the company was sold for</b>	£ <input type="text"/>
<b>Applicants' share of the sale</b>	£ <input type="text"/>

**Please send us:**

- A signed letter from the solicitor, or regulated accountant, dealing with the company sale confirming their name, address and details of the company sold, date of the sale, and details of the funds the applicant received.

## Share sale

Description of shares	
Name of the seller	
How long shares were held	
Sale amount	£ <input type="text"/>
Date funds received	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

### Please send us all of the following:

- A copy of the contract notes. They must show the sale proceeds.
- A certified copy of a bank statement showing receipt of funds and the investment company's name.
- A signed letter providing details of the funds from a regulated accountant.

## Loan

Amount of loan	£ <input type="text"/>
Name of loan provider	
Date of loan	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

### Please send us:

- A letter from the loan provider confirming the amount of loan and the recipient.

## Gift

Total amount	£ <input type="text"/>
Reason for gift	
Your relationship to donor	
Date of gift	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

### Please send us:

- Certified documentary evidence of the donor's source of wealth based on the source of wealth documented above.

## Inheritance

<b>Name of the deceased</b>	
<b>Date of death</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Relation to the deceased</b>	
<b>Date inheritance received</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Total amount of inheritance received</b>	£ <input type="text"/>
<b>Details of the solicitor (where applicable)</b>	

### Please send us both of the following:

- A sealed copy of the Grant of Probate (with a copy of the will). This must include the value of the estate.
- A signed letter from the solicitor detailing the name of the deceased, date of death, relation to the deceased, date the inheritance was received, total amount of inheritance received.

## Competition or gambling win

<b>Total amount</b>	£ <input type="text"/>
<b>Details of organisation paying prize</b>	
<b>Date of win</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/>

### Please send us:

- Evidence of winnings either in the form of confirmation from the competition organiser and/or evidence of receipt of winnings into your bank account.

## Compensation payment

<b>Total amount</b>	£ <input type="text"/>
<b>Who made the payment</b>	
<b>Date received</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/>

### Please send us:

- Evidence of the source and amount of compensation payment.

## Divorce settlement

<b>Date received</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Total amount received</b>	£ <input type="text"/>
<b>Name of the divorced partner</b>	<input type="text"/>

### Please send us one of the following:

- A copy of the Court Order.
- A solicitor's letter detailing the date and amount received.

## Company profits

<b>Name and address of the company</b> (inc postcode)	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
<b>Nature of business of the company</b>	<input type="text"/>
<b>Gross annual profit</b>	£ <input type="text"/>

### Please send us:

- A copy of latest audited company accounts.

## Other

<b>Nature of income</b>	<input type="text"/>
<b>Amount</b>	£ <input type="text"/>
<b>Received from whom</b>	<input type="text"/>
<b>Date received</b>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/>

### Please note

Where a source of wealth is from other sources, we may need additional evidence before we accept it. If you have any evidence to support other sources of wealth please provide it to avoid unnecessary delays.