PHOENIX WEALTH

FAMILY SUNTRUST

INVESTMENT/DISINVESTMENT INSTRUCTIONS

Phoenix Wealth, Self Invested Pensions, PO Box 1394, Peterborough, PE2 2TQ.

When to use this form

This form should be used to:

- Agree new, or change existing:
  - Investment instructions – complete section 2
  - Disinvestment instructions – complete section 3
- Switch funds within an existing investment product – complete section 4

It must be signed by all the Participants **(section 6)** to show they agree unanimously with the instructions.

An overview of Permitted investments allowed under the Scheme is in the **Terms & Conditions - Family Suntrust Scheme**.

Please use section 5, or attach a separate sheet, if space is needed to give additional instructions.

1. FAMILY SUNTRUST SCHEME DETAILS

Scheme name	Family Suntrust Scheme (the 'Scheme')
Scheme number	☐☐☐☐☐☐☐☐☐

2. INVESTMENT INSTRUCTIONS

You must complete this section to confirm how you would like an in specie or cash transfer to be invested.

2.1 In specie transfer

Please confirm the name of the investment product (for example Elevate GIA) where the in specie assets should be held. If it's to be held directly in the Family Suntrust Scheme please state 'direct'.

What assets are being transferred in specie?

2.2 Cash transfers

Any fees and charges will be deducted before the money is invested, reducing the final investment amount.

Please tick one of the following options:

☐ **One-off payment**

Apply the investment instructions in the table to a one-off payment only. This will not replace any current investment strategy.

☐ **Ongoing investment strategy**

Apply the investment instructions **in the table** as an ongoing investment strategy for all payments to the Scheme. This will replace any current investment strategy. There is no need to send an **Investment instructions** form for future investments **unless** you want to change this strategy.

Investment product (for example Phoenix Wealth TIP) **and reference, if known** (Where relevant, please ensure a copy of the investment application form is attached showing fund investment details)	**% or £ amount**

3. DISINVESTMENT INSTRUCTIONS

You must complete this section to confirm how funds should be disinvested from the Scheme.

This section should not be completed to provide disinvestment instructions for an Elevate General Investment Account as the Financial Adviser has to set this up online.

☐ **One-off disinvestment**

Apply the disinvestment instructions **in the tables below** to a one-off payment only. This will not replace any current disinvestment strategy.

Disinvested funds will stay in the Scheme cash account unless reinvestment details are given in section 2.

☐ **Ongoing disinvestment strategy**

Apply the disinvestment instructions **in the tables** below as an ongoing disinvestment strategy. This will replace any current disinvestment strategy. There is no need to send an **Investment instructions** form for future disinvestments unless you want to change this strategy.

	Amount to be disinvested	
Investment product	**Option 1. A specific amount** (% or £)	**Option 2** Enough to cover fees, charges or benefit payments. Tick to confirm
		☐
		☐
		☐

We will disinvest from the funds in the investment product proportionally unless you give us different instructions here.

Details of fund(s) to be disinvested	Proportion of payment due (£ or %)

4. SWITCH FUNDS WITHIN AN EXISTING INVESTMENT PRODUCT

This section must be completed to confirm the switch of funds within an existing investment product (for example Phoenix Wealth TIP).

Investment product

Fund details

Switch from	SEDOL	%	Switch to	SEDOL	%

5. ADDITIONAL INSTRUCTIONS

6. DECLARATION AND CONSENT

Important: the declaration and consent must be signed by all Participants. If a Participant is acting on behalf of a Minor as Parent/Guardian they only need to sign once. The declarations below will apply accordingly. If there are more than six Participants please copy and complete this section.

We confirm that:

- we have obtained and considered professional advice in relation to the suitability of any investment and/or diversification of the Scheme assets and that:
  - to the best of our knowledge and belief, the statements made in this application, including those not in our handwriting, are correct and complete;
  - all relevant information has been provided;
  - we understand and accept that we are fully responsible for any and all disbursements, legal fees, valuation fees, tax charges and any other fees or charges incurred by the Scheme in this matter, even if the transaction does not proceed, irrespective of the reason, unless due to an error made by the Scheme Administrator, the Trustee or the Scheme Provider; and
  - any proposed investment transaction has been/will be negotiated on normal commercial terms and in accordance with HM Revenue & Customs' rules.

We understand and accept that:

- the instructions set out in this form are subject to the Terms & Conditions - Family Suntrust Scheme and the Rules of the Scheme both as amended from time to time.
- the Scheme Administrator will not be able to process any instructions where:
  - sufficient information to process the request has not been provided; or
  - the instruction relies on a third party and they do not act in accordance with your instructions.

We the undersigned, being the existing Participants, agree to the investment instructions set out in this form.

Full Name	Signature	Date
	✖	_ _ / _ _ / _ _ _ _
Full Name	Signature	Date
	✖	_ _ / _ _ / _ _ _ _
Full Name	Signature	Date
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FSTINVINS | June 2024