

FAMILY SUNTRUST

TRANSFER PAYMENT AUTHORITY

Phoenix Wealth, Self Invested Pensions, PO Box 1394, Peterborough, PE2 2TQ

When to use this form

This form should be completed by a Participant to provide authority to make a transfer into the Scheme. If there is more than one transfer you need to complete a form for each.

Please note, we don't accept incentivised transfers from defined benefit schemes. We will also not accept transfers against the advice of your adviser where the transfer is from a Defined Benefit scheme or a Defined Contribution Scheme which has safeguarded benefits.

1. FAMILY SUNTRUST SCHEME DETAILS

Scheme name	Family Suntrust Scheme (the 'Scheme')
Scheme number	
Illustration reference	
Participant's full name	

2. TRANSFER PAYMENT DETAILS

Are you subject to the Money Purchase Annual Allowance? ☐ Yes ☐ No

If yes, what date did this first apply? / /

Approximate fund value to be paid to Phoenix Wealth Services Limited £

Is any part of the transfer in-specie? (if yes confirm amount)	Are you transferring the full value of the plan?	Are the sums and assets being transferred	Where some, or all, of the money is in drawdown, this is?	What is the plan type?	Is the current plan subject to any existing or proposed trustee in bankruptcy orders, or earmarking or pension sharing orders, or other receiving orders?
☐ No ☐ Yes £	☐ No ☐ Yes	☐ Already entirely in drawdown ☐ Already partially in drawdown ☐ Not in drawdown	☐ Capped ☐ Flexi-access	☐ Defined benefit ☐ Defined contribution	☐ No ☐ Yes

If an in-specie transfer is being made all the Participants must complete a **Family Suntrust – Investment/disinvestment instructions** form.

The **Family Suntrust – Terms & conditions** includes information about Permitted Investments allowed under the Scheme. If an investment being transferred isn't a Permitted Investment, it will need to be sold and the cash value transferred. If the investment isn't sold, the Scheme Administrator will not be able to continue with the transfer.

3. TRANSFER DETAILS

Plan details

Name of scheme	
Reference number	

Scheme administrator/trustee contact details

Name and address (inc postcode)	
Email	
Tel number	

4. FINANCIAL ADVISER DECLARATION

We will only accept this application if you have given advice.

Confirmation of advice given

Please confirm the basis of the advice you have given:

☐ Restricted ☐ Independent

Has this application been submitted against your advice? (insistent customer business)

☐ Yes ☐ No

Has the applicant opted out of, or declined to join, an Occupational Pension Scheme in favour of making contributions to this Personal Pension?

☐ Yes ☐ No

Does the application include the transfer in of existing Occupational Pension Scheme benefits?

☐ Yes ☐ No

Does the application include the transfer in of an individual pension contract providing fixed or guaranteed benefits, which replaced similar benefits under a defined benefits pension scheme?

☐ Yes ☐ No

Does the application include the transfer in of existing safeguarded benefits?

☐ Yes ☐ No

Signature:

Date:

/

/

Your full name	
Name of your firm	
FCA number	

5. DECLARATION AND CONSENT

For the benefit of Phoenix Wealth Services Limited and the trustee(s) and scheme administrator of the plan named in section 3 of this form. References to 'you' in these declarations mean the trustee(s) and scheme administrator of the plan named in section 3 of this application.

- I authorise and instruct you to transfer sums and assets from the scheme(s)/arrangement(s) as listed in section 2 of this form directly to Phoenix Wealth Services Limited and to provide any instructions and/or discharge required by any relevant third party to do so.
- I authorise Phoenix Wealth Services Limited, the trustee and scheme administrator of the transferring scheme(s)/arrangement(s), and any financial adviser named in this form to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Phoenix Wealth Services Limited.
- I authorise Phoenix Wealth Services Limited, the trustee/administrator of the transferring scheme(s)/arrangement(s) and any employer paying contributions to any of the scheme(s)/arrangement(s) as listed in section 2 of this form to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Phoenix Wealth Services Limited.
- Until this form is accepted and complete, Phoenix Wealth Services Limited's responsibility is limited to the return of the total payment(s) to the trustee or scheme administrator of the transferring scheme(s)/arrangement(s).
- When payment is made to Phoenix Wealth Services Limited as instructed, this means that I shall no longer be entitled to receive pension benefits from the whole of the scheme(s)/arrangement(s) listed in section 2 of this form where the whole of the scheme(s)/arrangement(s) is transferring, or that part of the scheme(s)/arrangement(s) represented by the payment(s) if only part of the scheme(s)/arrangement(s) is transferring.
- I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that Phoenix Wealth Services Limited and the trustee and scheme administrator of the transferring scheme(s)/arrangement(s) may incur as a result of any incorrect, untrue, or misleading information in this application or given by me, or on my behalf, or of any failure on my part to comply with any aspect of this application. This includes where I have been asked to provide any original policy document(s) in return for the transfer of funds and I am unable to do so.

Important: in the case of a Minor, this form must be signed by the Parent/Guardian acting on their behalf and the declarations above will apply accordingly.

Signature:

Date:

/

/

**Your name if you are signing
on behalf of a Minor**