PHOENIX WEALTH

SELF-CERTIFICATION

INDIVIDUAL

Phoenix Wealth, Unit Linked Life & Pensions, PO Box 1393, Peterborough, PE2 2TP.

Tax regulations[1] require us to collect information about the tax residency[2] of the following:

- Each investor
- Each Controlling Person[3] of an organisation that is a:
  - (i) Passive Non-Financial Entity (this includes Passive Non-Financial Foreign Entities)
  - (ii) Professionally managed Investment Entity outside of a CRS Participating Jurisdiction

In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with HM Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions[4]. (You can find a full list at www.oecd.org/ctp/exchange-of-tax-information/Status_of_convention.pdf).

If you are a UK Tax resident only, you do not need to provide your 'Tax Reference Number'. However, if you are a tax resident in any other country or territory outside of the UK, please provide your 'Tax Reference Number' for each.

If you have any questions about your tax residency[2], please contact your tax adviser. You can also find more information at www.oecd.org/tax/automatic-exchange.

If any information you give changes in the future, please let us know as soon as possible.

If you are not resident in any country for tax purposes, please tick this box ☐ and go to the declaration.

Bond number ☐☐☐☐☐☐☐

Tax Residency

Please indicate all countries in which you are resident for tax purposes and your associated Tax Reference Number(s) in the table below.

If a Tax Reference Number is unavailable please confirm which of the following reasons apply in the table:

A - The country does not issue a tax reference

B - No tax reference is required

C - Other (please specify)

Country/countries of tax residence	Tax Reference Number	If no Tax reference Number is available enter reason A, B or C

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Phoenix Life Limited immediately if any of this information changes in the future.

Where relevant, I declare that I am a Controlling Person[4] of:

Name of the entity you are a Controlling Person of	
Full name	
Permanent residential address (inc postcode)	
Date of birth	☐☐/☐☐/☐☐☐☐
Signature	✖
Date	☐☐/☐☐/☐☐☐☐

1. The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
2. Your tax residence generally is the country in which you live for more than half a year. Special circumstances (such as studying abroad, working overseas, or extended travel) may cause you to be resident elsewhere or resident in more than one country at the same time (dual residency). The country/countries in which you pay income tax are likely to be your country/countries of residence. If you are a US citizen or hold a US passport or green card, you will also be considered tax resident in the US even if you live outside the US. You can find more information on tax residency at www.oecd.org/automatic-exchange/crs-implementation and assistance.
3. The term "Controlling Person" means the natural person(s) who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Person" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.
4. Those countries that have agreed to exchange information under FATCA and the CRS.

Phoenix Life Limited, trading as Phoenix Wealth, is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Phoenix Life Limited is registered in England and Wales No. 1016269 and has its registered office at: 10 Brindleyplace, Birmingham, B1 2JB.

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