

FAMILY SUNTRUST

APPOINTMENT OF DISCRETIONARY FUND MANAGER

Phoenix Wealth, Self Invested Pensions, PO Box 1394, Peterborough, PE2 2TQ.

When to use this form

This form should be completed to appoint a Discretionary Fund Manager to run an investment portfolio for a Family Suntrust Scheme. **It must be signed by all the Participants.**

1. FAMILY SUNTRUST SCHEME DETAILS

Scheme name	Family Suntrust Scheme (the 'Scheme')
Scheme number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

2. DETAILS OF DISCRETIONARY FUND MANAGER TO BE APPOINTED

Name and address of Discretionary Fund Manager firm	
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Contact details

Name	
Email	
Telephone number	

3. INVESTMENT AMOUNT

Please confirm the amount to be invested with the Discretionary Fund Manager.

☐ Total value of pooled fund less fees, charges and benefits due

Lower amount

£

4. DECLARATION AND CONSENT

In connection with the appointment

I authorise and request:

- the appointment of the above named firm as the Discretionary Fund Manager ('the Manager') to the Scheme named above in accordance with the **Family Suntrust – Terms & conditions** and Scheme Rules.
- Phoenix Wealth Services Limited ('the Scheme Administrator') to open an account, in the name of Phoenix Wealth Trustee Services Limited ('the Trustee'), on behalf of the Participants, with the Manager.
- the Scheme Administrator to forward to the Manager the monies to be invested with the Manager on the Scheme's behalf.

I acknowledge that:

- the appointment of the Manager will be subject to the terms of a master agreement entered into between the Manager, the Trustee and the Scheme Administrator.
- I shall be responsible for any costs arising or associated with the appointment of the Manager and such costs will be deducted from the Scheme cash account.

I confirm that:

- I have either (a) completed; or (b) will complete the relevant customer documentation required by the Manager and will liaise directly with the Manager regarding any additional documentation he requires.

Disclaimer

I agree that:

- the Scheme Administrator, the Trustee and the Scheme Provider (Phoenix Wealth Services Limited) shall not be responsible for any loss in the value of the Scheme or otherwise, or any other liability of the Scheme arising from the appointment of, or from reliance upon, advice given or services provided by the Manager or any failure on the part of the Manager to produce a reasonable investment return in relation to the Scheme or any default of the Manager in relation to custody of the Scheme's assets.
- I will be responsible for informing the Manager of my investment objectives. The Scheme Administrator and the Trustee are not responsible for my chosen investment objectives.
- the investment strategy to meet my investment objectives will be determined by the Manager. The Scheme Administrator and the Trustee are not responsible for the investment strategies pursued by the Manager, but I acknowledge and am aware that the Scheme Administrator has placed, and may place, restrictions on the types of assets that the Scheme may invest in.

I authorise:

- the Manager to make such deductions from the Scheme as agreed with me to cover the fees, charges and out-of-pocket expenses arising from the safe keeping, handling and carrying out of transactions in respect of any assets held for the Scheme by the Manager.
- the Scheme Administrator to recover from the Manager such amounts as are necessary to cover the Scheme Administrator's fees and expenses as set out in the **Family Suntrust – Terms & conditions**.

I understand that:

the Scheme Administrator, the Trustee and the Scheme Provider do not act as investment managers in relation to investments under the Scheme and do not accept any liability for the performance or choice of investments, or performance or choice of the Manager. I promise to be responsible for and pay to the Scheme Administrator, the Trustee and/or the Scheme Provider all reasonable costs, claims, expenses, tax charges, demands and losses whatsoever that the Scheme Administrator, the Trustee or the Scheme Provider may suffer or incur arising from the activities, any failure to act or the performance of the Manager. This Declaration and promise shall be governed by the laws of England and Wales.

Important: the declaration and consent must be signed by all Participants. If a Participant is acting on behalf of the Minor as Parent/Guardian they only need to sign once. The declaration above will apply accordingly. If there are more than four Participants please copy and complete this section.

Full Name	Signature ✕	Date □ □ / □ □ / □ □ □ □
Full Name	Signature ✕	Date □ □ / □ □ / □ □ □ □
Full Name	Signature ✕	Date □ □ / □ □ / □ □ □ □
Full Name	Signature ✕	Date □ □ / □ □ / □ □ □ □